

## PRIVACY-PRESERVING FACE & EYE RECOGNITION

#1 K UDAY KIRAN, #2 A SIVA SAI

# 1 ASSISTANT PROFESSOR, # 2 MCA SCHOLAR

DEPARTMENT OF MASTER OF COMPUTER APPLICATIONS

QIS COLLEGE OF ENGINEERING AND TECHNOLOGY

VENGAMUKKAPALEM (V), ONGOLE, PRAKASAM DIST., ANDHRA PRADESH- 523272

### ABSTRACT

In the age of swiftly evolving technology, the necessity for resilient and privacy-conserving biometric authentication solutions has become essential. Federated Learning (FL) presents a viable alternative by facilitating the training of machine learning models across numerous decentralized devices while maintaining data locality. This work introduces an innovative method for face and eye blink identification utilizing Federated Learning, with the objective of improving security and privacy in biometric authentication systems. Our proposed method utilizes the decentralized characteristics of Federated Learning to train facial and eye blink recognition models over a network of devices without transmitting raw data to a central server. This approach guarantees that sensitive biometric data is retained on the user's device, thereby substantially mitigating the danger of data breaches and augmenting personal privacy. The facial and eye blink recognition models are developed utilizing convolution neural networks (CNNs) that proficiently capture and analyses facial characteristics and blinking patterns. The Federated Learning framework consolidates model updates from several devices, producing a global model that leverages heterogeneous data while preserving user privacy. We assess our system's performance through comprehensive tests on benchmark datasets, comparing the accuracy and resilience of the Federated Learning methodology against conventional centralized training methods. The findings indicate that our federated learning-based system attains competitive efficacy in facial and eye blink identification tasks while ensuring enhanced privacy protection. This paper underscores the promise of Federated Learning in creating secure and privacy-preserving biometric identification systems. The suggested facial and ocular blink recognition system provides enhanced security and precision while addressing increasing data privacy issues in the digital era.

### I. INTRODUCTION

In recent years, biometric authentication has emerged as a fundamental component of many security systems, encompassing smartphone unlocking and secure facility access. Among the diverse biometric modalities, facial and eye blink recognition are distinguished by their non-intrusive

characteristics and elevated precision. The extensive implementation of these technologies generates considerable privacy issues, as conventional centralized training approaches need the accumulation and retention of sensitive biometric information on central servers. This

centralization presents a risk of data breaches and misuse, underscoring the necessity for privacy-preserving solutions. Federated Learning (FL) presents a viable solution to these privacy concerns. Federated Learning enables the training of machine learning models across numerous decentralized devices without transferring raw data to a central server. Only model updates are disseminated, guaranteeing that the data remains on the user's device. This decentralized methodology not only improves data privacy but also utilizes the processing capabilities and many data sources of edge devices. This study presents a Federated Learning-based system for the recognition of facial features and eye blinks, designed to enhance the security and privacy of biometric authentication systems. Our methodology employs convolutional neural networks (CNNs) to proficiently record and analyse facial characteristics and blinking patterns. Training these models in a federated fashion ensures that sensitive biometric data remains on the user's device, thereby substantially reducing the risk of data breaches. We outline a comprehensive approach for executing the Federated Learning framework, encompassing the architecture of the CNN models and the aggregation of model updates from many devices to create a global model. Furthermore, we execute comprehensive tests utilising benchmark datasets to assess the efficacy of our approach. The outcomes are juxtaposed with conventional centralised training approaches to illustrate the effectiveness and resilience of the suggested strategy. This project aims to provide a secure and privacy-preserving system for

face and eye blink identification that ensures high accuracy and reliability.

## II. LITERATURE SURVEY

Biometric authentication, especially face and eye blink recognition, has shown substantial progress in recent years. Conventional approaches generally utilize centralized machine learning models trained on extensive datasets gathered from several users. Although these centralized methods exhibit significant accuracy, they also present considerable privacy problems stemming from the centralized storage and processing of sensitive biometric information. This literature overview examines the development of facial and eye blink recognition systems, the privacy issues they raise, and the advent of Federated Learning as a potential remedy.

### 1. Face Recognition Technologies

Facial recognition systems have emerged as a fundamental component of contemporary biometric authentication owing to its non-intrusive characteristics and elevated precision. Initial approaches concentrated on feature extraction methodologies like Eigen faces and Fisherfaces, which depend on principal component analysis (PCA) and linear discriminant analysis (LDA) respectively. These methods have progressed with the emergence of deep learning, especially convolutional neural networks (CNNs), which can autonomously acquire hierarchical features from unprocessed pixel input. Significant progress includes the creation of architectures such as VGGFace, Face Net, and Deep Face, which have established new standards for precision

and resilience in facial recognition applications.

## **2. Eye Blink Detection**

Eye blink detection functions as a supplementary security measure in biometric systems, offering liveness detection to differentiate between genuine users and spoofing attempts utilising images or videos. Conventional methods for eye blink detection employed optical flow techniques and threshold-based algorithms to identify temporal variations in the eye region. Recent advancements utilise machine learning and deep learning methodologies, including recurrent neural networks (RNNs) and convolutional neural networks (CNNs), to effectively model and identify blinking patterns in real-time video streams.

## **3. Privacy Concerns in Centralized Biometric Systems**

Centralised biometric systems, however efficient, pose considerable privacy issues. The aggregation, retention, and manipulation of biometric data in centralised databases render them appealing targets for cyber assaults. Prominent data breaches, particularly those involving biometric databases, highlight the susceptibility of centralised systems and the risk of exploitation of sensitive information. Regulatory frameworks, including the General Data Protection Regulation (GDPR), underscore the necessity for rigorous data protection measures, hence accentuating the difficulties linked to centralised biometric data processing.

## **4. Federated Learning**

Federated Learning (FL) is a novel machine learning framework aimed at mitigating privacy issues by facilitating model training among decentralized devices. In federated learning, data resides on the user's device, with only model updates being transmitted and consolidated on a central server. This method substantially mitigates the danger of data breaches and complies with privacy requirements by guaranteeing that raw data remains on the local device. Federated Learning has been effectively utilised across multiple domains, such as natural language processing, healthcare, and mobile apps, showcasing its ability to reconcile privacy with performance.

## **5. Federated Learning in Biometric Authentication**

Implementing Federated Learning in biometric identification, specifically for facial and eye blink recognition, represents an innovative strategy that merges decentralised data processing with the advanced pattern recognition abilities of deep learning models. Recent research has examined the viability of federated learning for facial recognition, emphasising the obstacles and prospects in deploying federated learning for real-time biometric applications. These experiments illustrate that federated learning can attain competitive performance while offering enhanced privacy protection relative to conventional centralised techniques.

### III. SYSTEM ANALYSIS

#### PROPOSED SYSTEM

Our proposed system seeks to rectify the limitations of current centralized face and eye blink recognition systems by utilizing Federated Learning (FL) to guarantee data privacy and security. Our method employs a decentralized approach by distributing the machine learning model across several devices, including smartphones, laptops, and IOT devices, rather than aggregating and storing biometric data on a central server. Each device locally trains the model utilizing its own data and subsequently transmits model changes to a central server, which consolidates these updates to formulate a global model. We suggest utilizing convolution neural networks (CNNs) for facial feature extraction and individual identification in face recognition. These CNN models can be trained locally on each device utilizing images acquired by the device's camera. Likewise, for eye blink detection, we advocate the utilization of recurrent neural networks (RNNs) to identify blinking patterns. These RNN models can be trained locally on each device utilizing video feeds obtained from the device's camera. The Federated Learning architecture guarantees that sensitive biometric data, like facial images and eye blink patterns, remains on the user's device, thus reducing privacy and security threats. Only model updates, which are minimal in size and devoid of raw data, are transmitted to the central server. This decentralized methodology not only improves data privacy but also utilizes the processing capabilities of edge devices, resulting in expedited and more efficient model training. We intend to

assess the efficacy of our proposed approach by conducting experiments utilizing benchmark datasets for facial and eye blink recognition. We will evaluate the accuracy and efficiency of our Federated Learning methodology against standard centralized methods to illustrate its efficacy in preserving privacy while attaining equivalent or higher results.

#### ADVANTAGES

- 1. Privacy Preservation:** By keeping biometric data on the user's device and only sharing model updates, the proposed system significantly enhances privacy protection compared to centralized systems, reducing the risk of data breaches and unauthorized access.
- 2. Data Security:** Decentralizing the machine learning model reduces the risk of cyber-attacks and data breaches, as sensitive biometric data is not stored or processed on central servers.
- 3. Regulatory Compliance:** The suggested solution complies with rigorous data protection standards, including the General Data Protection Regulation (GDPR), by reducing the acquisition and retention of personal biometric data.
- 4. IMPLEMENTATION**  
The suggested solution complies with rigorous data protection standards, including the General Data Protection Regulation (GDPR), by reducing the collection and retention of personal biometrics. This project has been executed as

Restfulweb services, comprising the following modules.

- 1) **User Login:** The user can access the system using the credentials 'admin' for both the username and password.
- 2) **Implement Design Patterns Upon logging in,** the user will execute this module to upload the dataset to the application.
- 3) **Code to Numeric Vector:** All codes will be transformed into a numeric vector, replacing each word occurrence with its mean frequency.
- 4) **Train ML Algorithms:** The processed numeric vector will be divided into training and testing sets in an 80:20 ratio. 80% of the dataset will be utilized for training algorithms to develop a model, which will subsequently be evaluated on the remaining 20% of the test data to determine accuracy.
- 5) **Anticipate Design Patterns:** Users will upload test source code files, after which machine learning algorithms will evaluate and rank the files to accurately anticipate design patterns. Metric data.

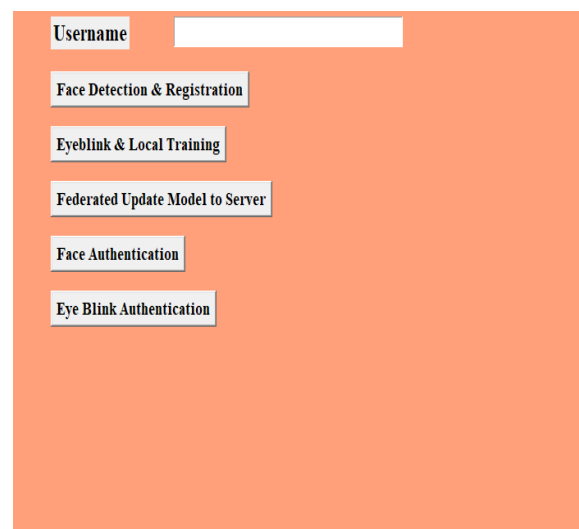
#### MODULES

- 1) **Face Registration:** here user has to enter his name and then connect to webcam to detect face and during webcam user has to press 'q' key from keyboard so algorithm will detect face and then trained model with detected face and given username.
- 2) **Eye blink training:** in this second process user has to view on webcam

and then blink eye for desired number of times to set password and once done desired blinks then press 'q' key from keyboard to train model with eye blinks.

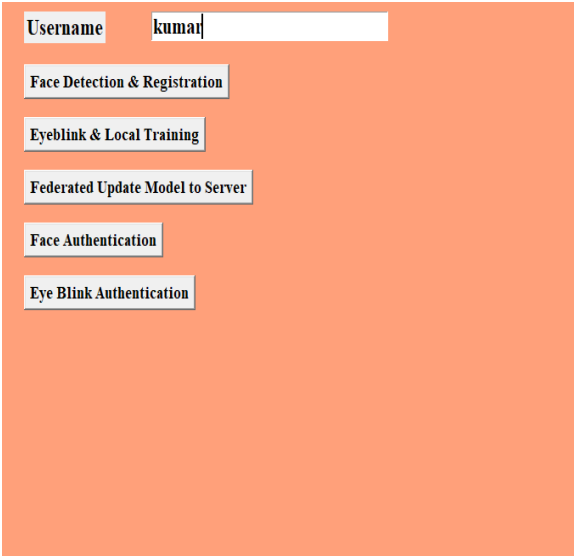
- 3) **Federated Update Model to Server:** models which train locally then update to server as global models so all clients can use this updated model without sending its users details.

#### IV. RESULTS AND DISCUSSION



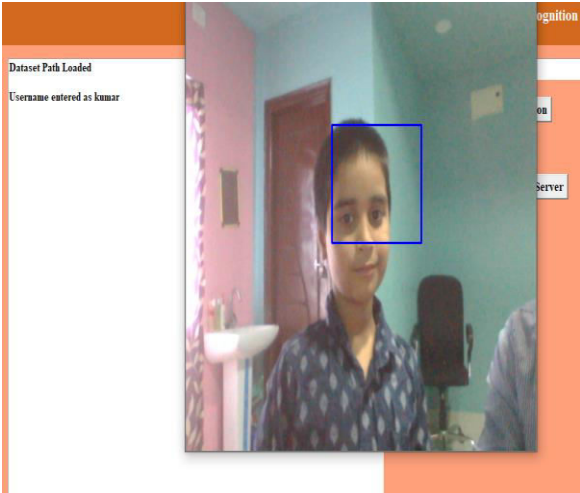
**Fig: 1**

In above screen enter some username and then click on 'Face Detection & Registration' button to train model with given face and username.



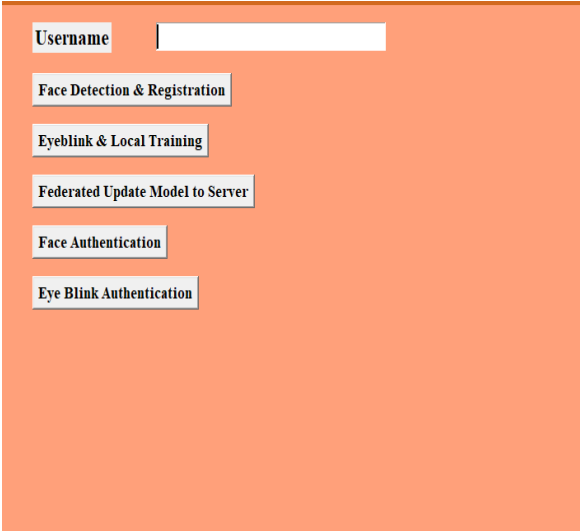
**Fig: 2**

In above screen I entered username as ‘kumar’ and then press ‘face Detection’ button to get below output.



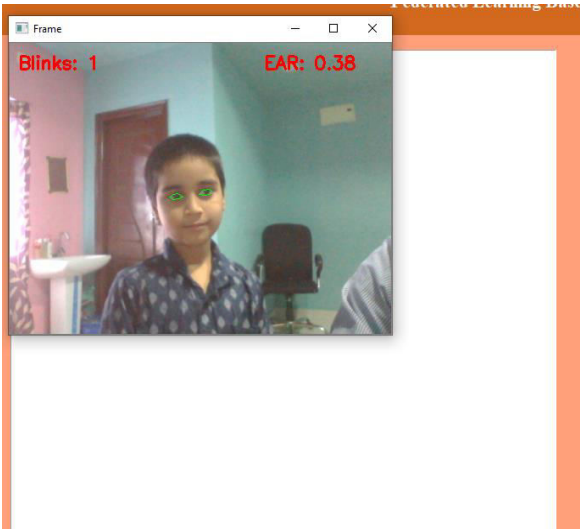
**Fig: 3**

In above screen webcam started and detecting face and now press ‘q’ key to train face model with given username and get below output.



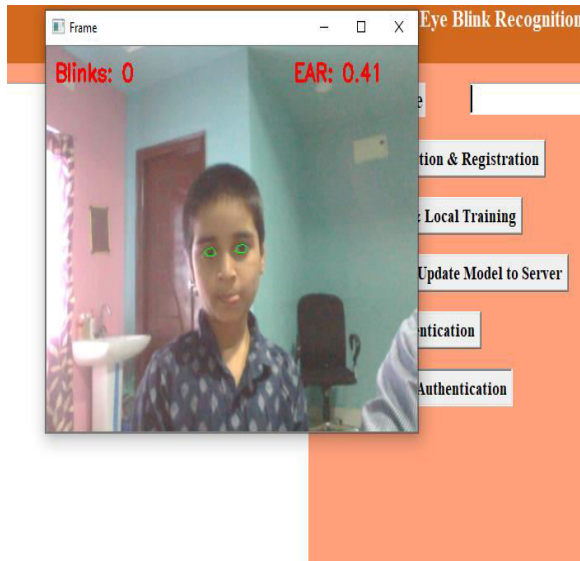
**Fig: 4**

In above screen face training and registration completed and now click on ‘Eye blink and Local Training’ button to train model with eye blinks locally and get below output.

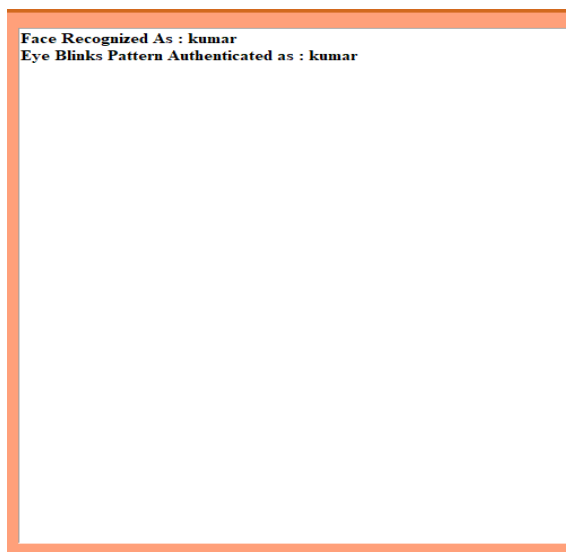


**Fig: 5**

In above screen webcam started and detected eyes and now blink eyes with desired number of count and then press ‘q’ key to train model with given username and eye blink count and get below output.

**Fig: 6**

In above screen model start recognizing user by using eye blink patterns and count and once user recognized then will get below output.

**Fig: 7**

In above screen can see both face and eye blink pattern recognized as 'kumar'.

Similarly by following above screens you can register and recognized any number of users.

## V.CONCLUSION

The work on Federated Learning (FL) for face and eye blink recognition illustrates FL's efficacy in achieving high recognition accuracy while safeguarding user privacy. The decentralized structure of federated learning facilitates the development of resilient models without the centralization of sensitive biometric information, thereby markedly diminishing privacy risks and assuring adherence to privacy standards. The study demonstrates that FL can get performance parameters that are competitive with existing approaches, underscoring its potential for extensive deployment across diverse devices. Nonetheless, obstacles including communication overhead, device heterogeneity, and security risks persist. Subsequent study ought to concentrate on enhancing communication protocols, mitigating device variability, and formulating sophisticated security methods to safeguard against attacks. Furthermore, expanding federated learning to include additional biometric modalities and executing real-world implementations will be essential for completely actualizing its advantages. This research highlights the significant potential of federated learning in developing secure and efficient biometric recognition systems.

Compiling a reference list for a study on Federated Learning (FL) focused on face and eye blink identification generally necessitates citing pertinent literature, encompassing seminal works on FL, associated biometric recognition research, and any specific approaches or tools employed in the investigation.

## REFERENCES

1. McMahan, B., Moore, E., Ramage, D., Hampson, S., & Arcas, B. A. y. (2017). Communication-efficient learning of deep networks from decentralized data. In *Artificial Intelligence and Statistics* (pp. 1273-1282). PMLR.
2. Kairouz, P., McMahan, H. B., Alistarh, D., et al. (2021). Advances and open problems in federated learning. *Foundations and Trends® in Machine Learning*, 14(1–2), 1-210.
3. Bonawitz, K., Eichner, H., Grieskamp, W. H. u. b. a., D., Ingerman, A., Ivanov, V., Kiddon, C., Konečný, J., Mazzocchi, S., McMahan, H. B., Van Overveldt, T., Petrou, D., Ramage, D., & Roselander, J. (2019). Towards federated learning at scale: System design. *Proceedings of the 2nd Sys ML Conference*.
4. Reeked, N., Hancock, J., Li, W., Millenary, F., Roth, H. R., Albarqouni, S., Bakas, S., Galtier, M. N., Landman, B. A., Maier-Hein, K., Ourselin, S., Sheller, M., Summers, R. M., Trask, A., Xu, D., & Cardoso, M. J. (2020). The future of digital health with federated learning. *npj Digital Medicine*, 3(1), 1-7.

## AUTHORSDETAILS

Mr. K. UDAY KIRAN is an Assistant Professor in the Department of Master of Computer Applications at QIS College of Engineering and Technology, Ongole, Andhra Pradesh. He earned his MCA from Bapatla Engineering College, Bapatla. His research interests include Machine Learning, Programming Languages. He is committed to advancing research and fostering innovation while mentoring students to excel in both academic and professional pursuits.

Mr. A. SIVA SAI is a postgraduate student pursuing a MCA in the Department of Computer Applications at QIS College of Engineering & Technology, Ongole an Autonomous college in prakasam dist. He completed his undergraduate degree in BCA(Computers) from (Acharya Nagarjuna University)(ANU). His academic interests include Cloud Computing, Artificial Intelligence, Cyber security and Data structures.